

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gen 1642

Applicant: I. Hellstrom et al.

Examiner: G. Bansal

Serial No.: 09/290,798

Group Art Unit: 1646

Filed: April 5, 1999

Docket: 30436.33USC1

Notice of Allow. Date: N/A

Batch No.: N/A

Due Date: N/A

Title: NOVEL ANTIBODIES REACTIVE WITH HUMAN CARCINOMAS



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 29, 1999.

By: Jack L. Kirk

Jack L. Kirk

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Communication In Response To Notice To Comply With Requirements For Patent Applications Containing Nucleotide And/Or Amino Acid Sequence Disclosures, and attached Exhibit A.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2724. A duplicate of this sheet is enclosed.

MERCHANT & GOULD  
Westwood Gateway II, Suite 400  
11150 Santa Monica Blvd.  
Los Angeles, CA 90025  
(310) 445-1140

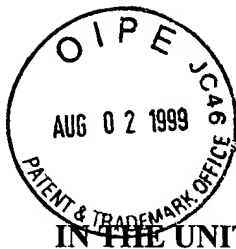
By: W. J. Wood

Name: William J. Wood

Reg. No.: 42,236

WJW/jlk

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99 AUG -9 AM 8:17



Dkt. 30436.33USC1/WJW

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By:

*Jack L. Kirk*  
Jack L. Kirk

COMMUNICATION IN RESPONSE TO NOTICE TO COMPLY WITH  
REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES

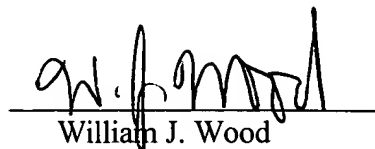
Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Gentlemen:

This communication is submitted in response to the Notice To Comply With Requirements For Patent Applications Containing Nucleotide And/Or Amino Acid Sequence Disclosures dated May 13, 1999, issued by the U.S. Patent and Trademark Office in connection with the above-identified Application. As no date was provided for a response to this Notice, the Response in the form of a Request to Transfer Sequence Listing from Parent Application attached herein as Exhibit A is considered to be timely filed.

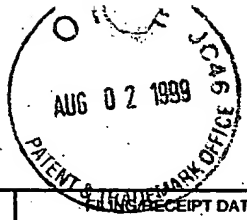
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1600/2900

If any extensions of time and accompanying fees are in fact required (a \$290.00 fee for a two-month extension of time under the 37 C.F.R. 1.136(a) for example), please charge these fees to Deposit Account No. 13-2724.



William J. Wood  
Registration No. 42,236  
Attorney for Applicants  
Merchant & Gould  
Suite 400  
11150 Santa Monica Blvd.  
Los Angeles, CA 90025  
(310) 445-1140

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK  
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/290,798 04/13/99 HELLSTROM

30436,33USC1 ✓

ATTENTION OF WILLIAM J WOOD  
MERCHANT GOULD SMITH EDELL WELTER  
& SCHMIDT WESTWOOD GATEWAY II SUITE 400  
11150 SANTA MONICA BLVD  
LOS ANGELES CA 90025-3395

NOT ASSIGNED

1643

DATE MAILED:

05/13/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER:

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☐ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:**

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.